



Docket No. 15824.1.1

In re application of

Customer No.: 022913

Art Unit
3732

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

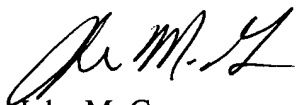
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Dated this 21st day of March 2005.

Respectfully submitted,



John M. Guynn
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JMG:mla

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Form PTO-1449

Sheet 1 of 2

Applicant: Scot N. Andersen

Serial No. 10/701,788

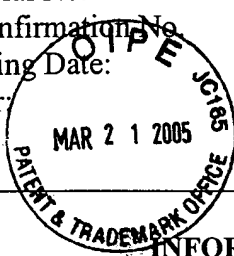
Att'y Docket No. 15824.1.1

Confirmation No. 7430

Group: 3732

Filing Date: November 4, 2003

For: PRE-SHAPED DENTAL TRAYS AND TREATMENT DEVICES AND
METHODS THAT UTILIZE SUCH DENTAL TRAYS



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	3,577,640	05/04/71	Lee	32	32	10/08/69
_____ A2.	4,044,762	08/30/77	Jacobs	128	136	08/23/76
_____ A3.	4,063,552	12/20/77	Going et al.	128	136	04/02/76
_____ A4.	5,051,476	09/24/91	Uji et al.	525	186	03/23/90
_____ A5.	5,376,006	12/27/94	Fischer	433	215	09/24/92
_____ A6.	5,631,000	05/20/97	Pellico	424	53	03/11/96
_____ A7.	5,752,826	05/16/96	Andreiko	433	41	05/19/98
_____ A8.	5,851,512	12/22/98	Fischer	424	49	05/30/97
_____ A9.	5,985,249	11/16/99	Fischer	424	49	10/14/97
_____ A10.	6,309,625	10/30/01	Jensen et al.	424	49	11/12/98
_____ A11.	6,312,671	11/06/01	Jensen et al.	424	53	12/20/99
_____ A12.	6,364,665	04/02/02	Trettenero	433	215	04/04/00
_____ A13.	6,379,147	04/30/02	Georgakis et al.	433	37	06/26/00

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Scot N. Andersen

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Foreign Patent Documents

<u>Examiner</u>	<u>Document No.</u>	<u>Publication Date</u>	<u>Country or Pat Office</u>
_____ A14.	WO 03/000216	3 Jan 2003	WIPO
_____ A15.	WO 88/06869	22 September 1988	WIPO

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

MLA0000003381V001.doc

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
